

# Agenda Item 12

## Audit and Standards Work Programme 2018-19

<b>Date</b>	<b>Item</b>	<b>Author</b>
20 September 2018	Annual Internal Audit Opinion Report	Kayleigh Inman (Senior Finance Manager)
	Annual Audit Letter 2017/18	External Auditor (KPMG)
	Information Management Annual Report	Mark Jones (Senior Information Management Officer)
	Annual Review of the Complaints Procedure	Gillian Duckworth (Director of Legal and Governance)
	Annual Review of the Members' Code of Conduct	Gillian Duckworth (Director of Legal and Governance)
	Work Programme	Gillian Duckworth (Director of Legal and Governance)
15 November 2018	Work Programme	Gillian Duckworth (Director of Legal and Governance)
20 December 2018	(Additional meeting if required)	
24 January 2019	Progress on High Opinion Audit Reports	Kayleigh Inman (Senior Finance Manager)
	Update on the General Data Protection Regulation/Data Bill	Mark Jones (Senior Information Management Officer)
	Standards Annual Report	Gillian Duckworth (Director of Legal and Governance)
	Strategic Risk Management	Richard Garrad (Corporate Risk Manager)
	Work Programme	Gillian Duckworth (Director of Legal and Governance)
21 February 2019	(Additional meeting if required)	
21 March 2019	(Additional meeting if required)	

Audit and Standards Work Programme 2018-19

18 April 2019	Internal Audit Plan 2019/20	Kayleigh Inman (Senior Finance Manager)
	Compliance with International Auditing Standards	Dave Phillips (Head of Strategic Finance)
	Certification of Claims and Returns Annual Report 2017/18 (Potentially January meeting)	External Auditor
	External Audit Plan 2018/19	External Auditor
	Annual Audit Fee Letter 2019/20	External Auditor
	Work Programme	Gillian Duckworth (Director of Legal and Governance)
June 2019	Audit Training	External Facilitator
13 June 2019	Summary of Statement of Accounts	Dave Phillips (Head of Strategic Finance)
	Internal Audit Annual Fraud Report	Kayleigh Inman (Senior Finance Manager)
	Whistleblowing Policy Review and Update	Gillian Duckworth (Director of Legal and Governance)
	Work Programme	Gillian Duckworth (Director of Legal and Governance)
25 July 2019	Report to Those Charged with Governance (ISA 260)	External Auditor
	Statement of Accounts	Dave Phillips (Head of Strategic Finance)
	Annual Governance Statement	Gillian Duckworth (Director of Legal and Governance)
	Information Management Annual Report	Mark Jones (Senior Information Management Officer)
	Progress on High Opinion Audit Reports	Kayleigh Inman (Senior Finance Manager)
	Update on Standards Complaints	Gillian Duckworth (Director of Legal and Governance)
	Strategic Risk Management	Richard Garrad

Audit and Standards Work Programme 2018-19

		(Corporate Risk Manager)
	Work Programme	Gillian Duckworth (Director of Legal and Governance)

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